





# **Covid-19 Critical Care Consortium Observational Study**

Incorporating the

ExtraCorporeal Membrane Oxygenation for 2019 novel Coronavirus Acute Respiratory Disease



# v. 1.2.8

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Scientific Title	Covid-19 Critical Care Consortium
	Incorporating the
	ExtraCorporeal Membrane Oxygenation for 2019 novel Coronavirus Acute
	Respiratory Disease (ECMOCARD)
Study Design	Prospective/Retrospective multi-centre short period incidence observational study
	of patients in participating hospitals and intensive care units (ICUs) with 2019 novel
	coronavirus (COVID-19).
The Collaborative	In response to the COVID-19 outbreak and to assist in pandemic planning both
	locally and globally, a research collaborative has been assembled. The
	collaborative consists of investigators from the Asia-Pacific extracorporeal life
	support organization (APELSO) in collaboration with centres within the SPRINT-SARI
	and ISARIC Network. In Australia, this study will be also complemented through
	collaboration with the "National registry on the treatment and outcomes of patients
	requiring ECMO" (EXCEL Registry).
Study Aim and Objectives	To describe clinical features; severity of pulmonary dysfunction; incidence of ICU
	admission, coagulatory and thrombotic derangement, cardiac dysfunction,
	neurological impact, kidney injury, use of mechanical ventilation, ECMO technical

## **Summary**









	characteristics; duration of ECMO; complications; and survival of patients with
	COVID-19.
Inclusions/Exclusions	All patients admitted to ICU with clinical suspicion or laboratory confirmed COVID-
	19 infection by real-time PCR and/or next-generation sequencing will be included.
	Patients receiving mechanical ventilation or ECMO for other concomitant causes will
	be excluded.
Consent	Given the negligible risk associated with this study and the timely nature in which
	the data needs to be collected, a waiver of consent is sought.
Study Setting	International multi-centre study, conducted in all collaborating hospitals/ICU-based
	research networks globally.
Sample Size	All patients with confirmed COVID-19 infection admitted to ICUs at the collaborative
	centres
Study Start Date	From the commencement of COVID-19 global epidemic
	Until completion of COVID-19 global epidemic, as judged by the World Health
Study Duration	Organization
Data collection processes	Patients will be studied from time of ICU admission until hospital discharge or up to
	28 days post ICU admission, whichever occurs later. All clinical information will only
	be recorded if taken as part of routine clinical practice at each site. Only de-
	identifiable data will be submitted centrally (REDCap hosted at Oxford University for
	International centres and at Monash University for Australian centres). A specific
	Case Report Form (CRF) will be used by participating sites to collect data set of ICU,
	mechanical ventilation and ECMO data. An optional Basic CRF will also be available
	for sources with limited resources for data collection. Data for COVID-19 Critical
	Care Consortium and ISARIC/SPRINT SARI observational studies will be
	concomitantly collected. Data will be recorded into REDcap through standard data
	collection or interactive augmented human experience via digital interaction by
	voice or touch monitors or digital transcription of CRF hard copies. In Australia,
	patients concomitantly included into the EXCEL registry, EXCEL data will be
	requested to complement COVID-19 Critical Care Consortium observational study
	data and reduce daily workload.













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# Introduction

The ExtraCorporeal Membrane Oxygenation for 2019 novel Coronavirus Acute Respiratory Disease (ECMOCARD Trial) will be carried out within the network and web-based case collection forms of the ISARIC consortium's SPRINT-SARI study and in Australian and New Zealand centres, upon conclusion of the epidemics, potentially complemented through the study "A comprehensive national registry on the treatment and outcomes of patients requiring ECMO" (EXCEL Registry). International Severe Acute Respiratory and Emerging Infection Consortium (ISARIC)

The International Severe Acute Respiratory and Emerging Infection Consortium (ISARIC) was formed in 2011, in response to global recognition of the unmet need for timely and effective clinical research during outbreaks of emerging infectious disease with epidemic or pandemic potential. ISARIC represents a new paradigm for effective, coordinated, and timely collaborative clinical research during rapidly emerging threats to public health. It is collaboration among clinicians, clinical researchers, epidemiologists, ethicists, statisticians, laboratory-based clinicians, basic scientists, and public health experts. The mission of ISARIC is to develop operational readiness and to co-ordinate the conduct of essential clinical research to characterise and respond to new epidemic or pandemic infectious disease threats, thereby informing and guiding evidence-based optimal management. ISARIC is facilitating the coordination of SPRINT-SARI, which supports ISARIC's goal of improving the effectiveness of clinical researching globally during a pandemic by:

- Establishing protocols, with standardised definitions and study methods, for conducting time-critical research during outbreaks of emerging infectious diseases;
- Coordinating a large number of globally diversified hospitals and/or ICU-based networks with pre-existing ethics, administrative, regulatory and logistics in place, sufficient to implement study protocols, especially including regions where this type of clinical research has traditionally not been performed;
- Identifying and solving barriers to pandemic research, including those identified in SPRINT-SARI;
- 4. Studying SARI globally, providing evidence on SARI microbiology, treatment and outcome in both resource-rich and resource-poor settings;
- 5. Allowing ISARIC to evaluate its research capacity and capabilities; and







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6. Assisting ISARIC to maintain network stakeholders during inter-pandemic periods.

## Short PeRiod IncideNce sTudy of Severe Acute Respiratory Infection (SPRINT-SARI)

Severe acute respiratory infection (SARI) continues to be of major relevance to public health worldwide. In the last 10 years there have been multiple SARI outbreaks around the world. The 2009 H1N1 pandemic was estimated to result in more than 200,000 respiratory deaths globally<sup>1–3</sup>. The World Health Organization (WHO) defines SARI as an acute respiratory infection of recent onset (within 10 days) requiring hospitalisation, manifested by fever (≥38oC) or a history of fever and cough <sup>4–6</sup>. There is international consensus that it is important to undertake observational studies of patients with SARI as an essential component of pandemic and epidemic research preparedness. The primary aim of the SPRINT-SARI study was to establish a research response capability for future epidemics / pandemics through a global SARI observational study. The secondary aim of this study was to describe the clinical epidemiology and microbiology profiles of patients with SARI. The tertiary aim of this study was to assess the Ethics, Administrative, Regulatory and Logistic (EARL) barriers to conducting pandemic research on a global level. SPRINT-SARI was designed as a multicentre, prospective, short period incidence observational study of patients in participating hospitals and intensive care units (ICUs) with SARI. The study period was planned to occur, in both Northern and Southern hemispheric winters. The study period comprised a 5 to 7-day cohort study in which patients meeting a SARI case-definition, who are newly admitted to the hospitals/ICUs at participating sites, will be included in the study. The study was planned to be conducted in 20 to 40hospital/ ICU-based research networks globally. All clinical information and sample data were planned to only be recorded if taken as part of the routine clinical practice at each site and only fully anonymised and re-identifiable data will be submitted centrally. The primary outcome of SPRINT-SARI was to test the feasibility of conducting a global study of SARI.

Secondary Outcomes:

- 1. Incidence of SARI
- 2. Disease severity and risk factors for severe disease due to SARI
- 3. Case Fatality Proportion of SARI
- 4. Duration of ICU/hospital stay due to SARI
- 5. Microbiology of SARI, including variability in testing







6. Treatments received during hospitalization for SARI

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7. Evaluate impact on incidence of alternative case-definitions of SARI

8. Evaluate the operational characteristics of this study, including CRF,

Completion Guidelines, and entry criteria to provide information by which

iterative improvement in study design can be achieved.

9. Explore the feasibility of extrapolation of results obtained at participating sites to population levels

## Coronaviruses

Coronaviruses are a family of enveloped, single-stranded, positive-strand RNA viruses classified within the Nidovirales. Coronaviruses may infect mammals and birds, triggering respiratory, enteric, hepatic, and neurologic diseases<sup>7</sup>. Six coronavirus species are known to cause human disease. The coronaviruses 229E, OC43, NL63, and HKU1 are prevalent worldwide and most commonly cause only marginal respiratory symptoms. Two other strains, the severe acute respiratory syndrome coronavirus (SARS-CoV) and Middle East respiratory syndrome coronavirus (MERS-CoV) have originated from animal to human transmission and have caused more serious, sometimes fatal, respiratory illnesses. In previous years, SARS-CoV<sup>8,9</sup> and MERS-CoV<sup>10,11</sup>, have caused serious respiratory infections, with mortality rates of 10% for SARS-CoV<sup>12</sup> and 37% for MERS-CoV<sup>13</sup>.

## 2019 Novel Coronavirus (COVID-19)

In late December, 2019, in Wuhan, Hubei, China, a new respiratory syndrome emerged with clinical signs resembling viral pneumonia and person-to-person transmission<sup>14</sup>. Prompt diagnostic methods, through deep sequencing analysis from lower respiratory tract samples, corroborated emergence of a novel coronavirus, namely the 2019 novel coronavirus (COVID-19). In particular, Na Zhu and collaborators<sup>15</sup> were able to isolate the virus from bronchoalveolar lavage (BAL) from patients with pneumonia of unknown cause, who were in Wuhan on December 21, 2019 or later, and who had been present at the Huanan Seafood Market. RNA extracted from BAL fluid from the patients was used as a template to clone and sequence a genome using a combination of Illumina sequencing and nanopore sequencing. More than 20,000 viral reads from individual specimens were obtained, and most contigs matched to the genome from lineage B of the genus betacoronavirus —







showing more than 85% identity with a bat SARS-like CoV (bat-SL-CoVZC45, MG772933.1) genome. Virus isolation from the clinical specimens was performed with human airway epithelial cells and Vero E6 and Huh-7 cell lines. 2019-nCoV–infected human airway epithelial cultures were examined with light microscopy and with transmission electron microscopy 6 days after inoculation. Cytopathic effects were observed 96 hours after inoculation on surface layers of human airway epithelial cells and lack of cilium beating was seen with light microcopy (Fig. 1).

#### Figure 1



Figure 1: Cytopathic effect of the novel coronavirus, as reported in previous publication<sup>15</sup>

Through transmission electron microscopy, the authors were able to image the COVID-19 particles, that generally appeared spherical, of 60 to 140 nm, with some pleomorphism and distinctive spikes, about 9 to 12 nm (Fig. 3), and gave virions the appearance of a solar corona. This morphology corroborated the Coronaviridae family.

#### Figure 2



Figure 2: A: COVID-19 particles are depicted. B: COVID-19 in human airway epithelium, as reported in previous publicaition<sup>15</sup>.







Finally, investigators carried out inclusive phylogenetic analysis that showed that COVID-19 falls into the genus betacoronavirus, which includes coronaviruses as SARS-CoV, bat SARS-like CoV, and others from humans, bats, and other wild animals.

Thus far, more than 111,000 confirmed cases, including health-care workers, have been identified worldwide, and several exported cases have been confirmed in other provinces in China, Thailand<sup>16</sup>, Japan<sup>17</sup>, South Korea<sup>18</sup>, Germany, Italy<sup>19</sup>, France, Iran<sup>20</sup>, USA<sup>21</sup> and many other countries<sup>22</sup>. An early case report in 41 patients with laboratory-confirmed COVID-19 infection in Wuhan has been reported<sup>23</sup>. The median age of the patients was 49 years and mostly men (73%). Among those, 32% were admitted to the ICU because they required high-flow nasal cannula or higher-level oxygen support measures to correct hypoxaemia. Less than half had underlying diseases, including diabetes (20%), hypertension (15%), and cardiovascular diseases (15%). On admission, 98% of the patients had bilateral multiple lobular and subsegmental areas of consolidation (Figure 3)<sup>24</sup>.

#### Figure 3



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Figure 3 Caption: Transverse chest CT images from a 40-year-old man showing bilateral multiple lobular and subsegmental areas of consolidation on day 15 after symptom onset. Transverse chest CT images from a 53-year-old woman showing bilateral ground-glass opacity and subsegmental areas of consolidation on day 8 after symptom onset, adapted from<sup>23</sup>

Importantly, acute respiratory distress syndrome (ARDS) developed in 29% of the patients, while acute cardiac injury in 12%, and secondary infection in 10%. Invasive mechanical ventilation was required in 10% of those patients, *and two of them (5%) had refractory hypoxaemia and received extracorporeal membrane oxygenation (ECMO)*.







In a later retrospective report by Wang and collaborators<sup>25</sup>, clinical characteristics of 138 patients with COVID-19 infection were described. Those patients were admitted at Zhongnan Hospital of Wuhan University in Wuhan, China, from January 1 to January 28, 2020. The median age was 56 years and clinical signs of the infection comprised fever (98.6%), fatigue (69.6%), and dry cough (59.4%). Interestingly, lymphopenia occurred in 70.3% of the patients, prolonged prothrombin time 58%, and elevated lactate dehydrogenase 39.9%. ICU admission was required in 26.1% of the patients for acute respiratory distress syndrome (61.1%), arrhythmia (44.4%), and shock (30.6%). Among these patients, 11.1% received high-flow oxygen therapy, 41.7% non-invasive ventilation, and 47.2% invasive ventilation. *ECMO support was needed in 11% of the patients admitted to the ICU*. During the period of follow-up, overall mortality was 4.3%.









# **Objectives**

## Hypothesis

We hypothesize that a significant percentage of patients with COVID-19 infection will require admission to the intensive care unit, mechanical ventilation and ECMO for refractory hypoxemia, in addition a substantial proportion of patients will present coagulation disorders and thrombosis.

## Aims

This is a multi-centre international study in patients with COVID-19 who require admission to the intensive care unit, mechanical ventilation and/or ECMO to characterize the following features:

- 1. Incidence of ICU admission, use of mechanical ventilation and ECMO
- 2. Risk factors
- 3. Clinical features
- 4. Coagulation disorders and thrombosis
- 5. Severity of respiratory failure
- 6. Need for non-invasive and invasive mechanical ventilation and ECMO
- 7. Settings of invasive mechanical ventilation
- 8. ECMO technical characteristics
- 9. Duration of ECMO
- 10. Complications
- 11. ICU survival
- 12. Hospital survival.
- 13. Requirements and the time frame for approvals in each participating network region

# Materials and Methods

## Study Design

This is an international multi-centre, prospective/retrospective observational study of patients in participating hospitals and ICUs with COVID-19 infection. The study will be conducted at 20 to 90 hospital networks globally and will aim to recruit as many patients as possible. The aim is to recruit all eligible patients at each study location and there is no maximum number of patients that can be recruited from any one site. Patients will be studied from time of ICU admission up to







28 days or until hospital discharge, whichever occurs later. Information will be collected on demographics, co-existing illnesses, severity of illness, source and type of clinical specimens (upper versus lower respiratory tract and collection date), results of microbiological tests. ECMOCARD will specifically focus on collecting data of mechanical ventilation and ECMO and administration of other major therapies (including vasoactive therapies, hypoxaemia rescue therapies, and dialysis), administration of antibiotics and antivirals (and adjunctive therapies, e.g. immunomodulators, corticosteroids) and outcomes at ICU (if applicable), hospital discharge and 28 days. Furthermore, there will be additional optional CRFs to collect data for the following sub-studies (further information is provided in the Data Collection section of this protocol):

- Coagulation Disorders and Thrombosis sub-study
- Neurology sub-study
- Cardiac sub-study
- Acute Kidney Injury sub-study

## Research centres

This is a collaborative effort among investigators of the Asia-Pacific Extracorporeal Life Support Organization (APELSO) in collaboration with centres within the SPRINT-SARI and ISARIC Network.

## **Study Population**

We plan to recruit as many patients as possible of the patients with COVID-19 infection admitted to the ICU, in as many locations as possible, who meet the inclusion criteria with noexclusion criteria at the participating sites. It is anticipated that each participating Institution could contribute between 5 and 50 patients. Each site's recruitment will be determined by the incidence of the disease during the study period, and their ability to collect the required data.

## **Inclusion Criteria**

- 1. Clinical suspicion or laboratory-confirmed COVID-19 infection by real-time PCR and/or nextgeneration sequencing
- 2. Admission to an intensive care unit

## **Exclusion Criteria**

1. Patients treated with mechanical ventilation for other concomitant causes











## 2. Patients treated with ECMO for other concomitant causes

#### Co-enrolment

This is an observational study. Co-enrolment with other studies including interventional clinical trials is accepted.

## **Ethics**

#### **Guiding Principles**

The Chief Investigators and study management team are responsible for ensuring the study is performed in accordance with the protocol. This study is to be performed in accordance with the ethical principles of the Declaration of Helsinki (June 1964, most recently amended in October 2013), and the most recent, relevant ethical conduct of research guidelines published in the country of the participating site. The Principal Investigator at each site is responsible for maintenance of a securely held enrolment log linking the patient hospital record number and the study number as per their countries research guidelines.

## Comply with all local requirements

National or regional Co-ordinators in their defined location will be responsible for clarifying the requirements for ethics approval. It is the responsibility of the site Chief Investigator and Research Co-ordinator to ensure ethics approval has been granted prior to commencing the study and all local requirements are addressed. Each participating site will require ethics approval for this protocol and data collection of the ECMOCARD and ISARIC SPRINT-SARI CRF (RAPID, CORE, SUPPLEMENTARY TO CORE, DAILY and EPIDEMIOLOGY) and any other study documents relevant to their region. When possible, each participating study site will be supported by the ECMOCARD, Project Officer with their application. The Principal Investigator will produce progress reports, and any other required documentation for the local independent Ethics Committee in accordance with their guidelines. It is the responsibility of the Chief Investigator at each participating hospital to keep an up to date record of all correspondence and applicable documentation with the local Independent Ethics Committee. We will be collecting data on the requirements and the time frame for approvals in each participating network region.







# Confidentiality of patient data

No identifying data will be entered into the central database. Participants' names will not be collected, and confidentiality of information in medical records will be preserved. The confidentiality of the participant will be maintained unless disclosure is to comply with the law. To adhere to international ethical review board requirements and facilitate global ECMOCARD and SPRINT-SARI ISARIC data polling/sharing the CLiRes Data Management System will convert all dates entered (DD/MM/YYYY) into the eCRF into a re-identifiable format (D1, D2) at a system level. The original entered data (DD/MM/YYYY) will only be accessible by the site Research Co-ordinator and the site Principal Investigator using their unique database account details. *In Australia, re-identifiable data will be entered into a central REDCap database hosted by Monash University and harmonised with the SPRINT-SARI study.* 

## **Rule of Transfer**

It is proposed that if a patient is transferred from a facility participating in ECMOCARD and SPRINT-SARI to another facility that is also participating, the patient's previously allocated patient ID number will be documented in the CRF completed by the receiving hospital at time of admission. All sites participating in SPRINT-SARI will be asked to include a ECMOCARD and SPRINT-SARI study information sheet in the patients transferring documents, notifying the new hospital of the patient's inclusion in ECMOCARD and SPRINT-SARI, the patients re-identifiable participation number, the contact details of the Principle Investigator of ECMOCARD and SPRINT-SARI in the country and the ECMOCARD and SPRINT-SARI please check to see if the patients transferring hospital and ward/unit are included in the participating sites list on the ECMOCARD and SPRINT-SARI website (www.sprintsari.org). Please use the patients existing ECMOCARD and SPRINT-SARI participant number at the new hospital when entering data into the paper and/or eCRF. Sites will not have access to any data collected outside their hospital; it is the responsibility of each hospital to enter data pertaining to their component of the patient's hospital admission. If a patient is transferred to a non-participating hospital, there will be no further data collection.









#### International waiver of informed consent

It is expected that this study will not require individual patient consent. This study is in effect a large-scale clinical audit, as all data is already recorded as part of routine clinical care, therefore justifying participant enrolment using a waiver of consent. Waiver of consent may be available for studies that submit only re-identifiable information and where involvement in the research carries no more than low risk. Any location that deems individual consent necessary can use potential forms reported in the Appendix A. In particular, only in patients who meet the inclusion/exclusion criteria, informed consent will be obtained directly from the patient, either before the study or retrospectively in case the patient is unconscious at the time of enrolment. If the patient is unable to provide a consent form upon admission, informed consent will be obtained by his/her next of kin.

## Informed Consent in Australia

In Australia all patients admitted to the ICU and meeting all inclusion and no exclusion criteria will be included in ECMOCARD observational study. Their hospital data will be included under a waiver of consent, in line with the National Statement (chapter 2.3) and the NHMRC *Ethical Considerations in Quality Assurance and Evaluation Activities, 2014.* 

Data for ECMOCARD and SPRINT SARI observational study will be concomitantly collected. In addition, to minimise workload for site staff, whenever possible, EXCEL data will be requested to complement ECMOCARD data. SPRINT-SARI and EXCEL have both been approved to recruit patients under a waiver of consent. Yet, it is important to emphasize that ethics approval certificate for Project 202/16 has the following special condition: "A waiver of the requirement for consent was granted for the collection and use of identifiable information during relevant epidemics and pandemics. An opt-out approach will be used at all other times."

## **Data Collection**

## **ISARIC Data Collection**

As detailed in following paragraphs, we will collect data prospectively or retrospectively on patient demographics including age, sex, height, weight, and ethnicity, as well as the presence of predefined comorbidities. *General data will be collected from each site using the SPRINT-SARI data tool, namely the WHO and ISARIC NOVEL CORONAVIRUS (nCoV) ACUTE RESPIRATORY INFECTION* 





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*CLINICAL CHARACTERISATION (https://isaric.tghn.org/novel-coronavirus/)*. As shown in figure 4, SPRINT-SARI data collection will start upon admission to the Hospital. The CRF was assembled by ISARIC members on the basis of the WHO natural history protocol, INFINITE (ANZICS), MOSAIC and others<sup>5,26</sup>. The CRF was assembled to be a basic CRF with the aims of avoiding data duplication, and with the intention of being user friendly and applicable in all settings, regardless of the resources available<sup>27</sup>. The CRF has previously been used in Singapore, New Zealand, Saudi Arabia, Vietnam, and North America and adapted by a working group for the purposes of this study with ISARIC approval to all changes made. In 2020, with the emergence of the COVID-19 epidemics, the ISARIC CRF eCRF were modified in order to characterize patients with this infection. In addition, Chief Investigators of the ECMOCARD trial further improved the ISARIC CRF eCRF to specifically describe COVID-19 patients admitted to the ICU and undergoing mechanical ventilation and ECMO.

## COVID-19 Critical Care Consortium observational study Data Collection

Streamlined data-collection instruments and procedures will be used in an attempt to minimise the work in study centres. Specifically, we will collect data on the timing of ICU admission, endotracheal intubation, mechanical ventilation and ECMO commencement in relation to presumed onset of symptoms and hospital admission. We will investigate whether invasive mechanical ventilation and ECMO treatment was commenced in the participating hospital or whether the patient was retrieved and transferred while receiving invasive mechanical ventilation and/or ECMO from a referral centre. Severity of illness before endotracheal intubation and before ECMO will be investigated by respiratory rate, severity of hypoxemia, hypercapnia, non-pulmonary vital organ support, ventilator settings, and use of rescue ARDS therapies in the 12 hours before ECMO commencement. Dynamics of invasive mechanical ventilation and ECMO treatment will be recorded and characterized from commencement of invasive mechanical ventilation up to discontinuation (Figure 4). We will also collect administration of antiviral and antibiotic medications. Finally, duration of mechanical ventilation, ECMO, ICU and hospital stay, ICU and hospital mortality will be documented. In patients who died during hospital admission, we characterized the mode of death from a list of predefined options. Each patient's ELSO Registry patient identification number will be collected so that each patient record may be linked with the data contained within the ELSO Registry, which will be made as a formal data request to ELSO following ELSO procedures to







complement that collected as part of the COVID-19 Critical Care consortium observational study. Of note, In Australian centres, patients enrolled into the study "A comprehensive national registry on the treatment and outcomes of patients requiring ECMO) (EXCEL Study) will be identified by the ECMOCARD eCRF. Likewise, in the EXCEL study eCRF, a specific question will be added to identify patients enrolled in the ECMOCARD. Thus, we will complement ECMOCARD CRF with data collected through the EXCEL study.

Figure 4



\* ISARIC daily data collection starts upon hospital admission and comprises arterial blood gases, neurological and haemodynamic parameters and laboratory results, including infectious pathogens testing. Data collectors will record data retrospectively to review data from previous 24h and identify the worst values

COVID 19 CCC daily data collection starts upon ICU admission and comprises variable that are not collected as part of the ISARIC Daily data. Data collectors will record data retrospectively to review data from previous 24h and identify worst values

The majority of COVID 19 CCC parameters are matched with ISARIC parameters by date of assessment. Always report the date of data collection \* These events may all occur prior to ICU admission. If the patients was transferred from another department/hospital, please refer to medical charts from previous hospitalization if

possible. ~ The majority of these parameters are categorical (yes/no) and can be completed as soon as the event occurs during ICU stay

Figure 4 Caption: Follow-up schedule and assessments, ICU, intensive care unit; ECMO, extracorporeal membrane oxygenation.

## COVID-19 Critical Care Consortium observational study Basic Data Collection (Optional)

In collaborating sites with limited resources for data collection a modified Basic CRF will be proposed. In particular, we will use a CRF with significant reduction in data collection frequency, while ensuring collection of valuable data to achieve research targets and analysis of clinically relevant outcomes. No new data variables will be collected as part of the Basic CRF, but the frequency of daily data collection will be reduced from 14 days from hospital admission and on the day of ICU admission (ISARIC Daily form on REDCap) and every day of mechanical ventilation







(ECMOCARD EOT Daily form on REDCap), to a maximal total of 7 non-consecutive days as per the following timepoints.

- 1) Upon hospital admission:
  - Inclusion Criteria form
  - Demographics form
  - Onset and Admission form
  - Admission Signs and Symptoms form
  - Pre-admission medication form
  - Comorbidities form
  - Daily form
- 2) Upon ICU admission
  - Daily form
  - EOT ICU Admis form
- 3) Four days after ICU admission.

If patient is not mechanically ventilated:

• Daily form

If patient is mechanically ventilated:

- Daily form
- EOT Daily form
- 4) Upon commencement of mechanical ventilation:
  - Daily form
  - EOT Start Mech Vent form
  - EOT Daily form
- 5) Upon ECMO commencement:
  - Daily form
  - EOT Start ECMO form
  - EOT Daily form
- 6) Upon ECMO discontinuation:
  - Daily form







- EOT Daily form
- 7) Upon mechanical ventilation discontinuation:
  - Daily form
  - EOT Daily form
- 8) Upon hospital discharge or 28 days post ICU admission, whichever occurs later:
  - Treatment form
  - Complications form
  - Infectious Respiratory Disease Diagnosis form
  - Medication form
  - Outcome form
  - EOT Final form



Figure 5 Caption: Basic case report form follow-up schedule and assessments showing the maximal number of assessments. ICU, intensive care unit; ECMO, extracorporeal membrane oxygenation.

## Coagulation Disorders and Thrombosis Sub-study Data Collection (Optional)

In collaborative centres that routinely perform rotational thromboelastometry (ROTEM) or thromboelastography (TEG) in their clinical practice, we will carry out an additional observational sub-study to appraise coagulation disorders and/or pro-thrombotic risks in COVID-19 patients in the ICU. As detailed in following paragraphs, upon admission to ICU, and every 24 hours thereafter, we









will collect data prospectively or retrospectively on coagulation disorders and pro-thrombotic risks until discontinuation of mechanical ventilation or in case of patients who are not mechanically ventilated, until 7 days post-ICU discharge. In addition, in centres that routinely use ROTEM, within 1h from a clinically relevant thrombosis/embolism or bleeding event, and 6h prior to commencement of ECMO, we will perform an additional ROTEM assessment to record TRAPTEM AUC, A6 and MS parameters. Following IRB approval of the Covid-19 Critical Care Consortium incorporating ECMOCARD protocol version 1.2.7, *data for the Coagulation Disorders and Thrombosis Sub-study will be collected from each collaborating site using the dedicated REDcap CRF, hosted at Oxford University*.

## Neurology Sub-study Data Collection (Optional)

In collaborative centres with specific interest in the neurological impact of COVID-19, we will carry out an additional observational sub-study. As detailed in following paragraphs, we will collect data retrospectively on neurological comorbidities, central and peripheral nervous system complications during the hospital admission for COVID-19. In addition we will record crucial data on neuroimaging and markers of neurological injury. Finally, major outcomes and neurological function up to 28 days post ICU admission will be recorded. Following IRB approval of the Covid-19 Critical Care Consortium incorporating ECMOCARD protocol version 1.2.8, *data for the Neurology Substudy will be collected from each collaborating site using the dedicated REDcap CRF, hosted at Oxford University*.

## Cardiac Sub-study Data Collection (Optional)

In collaborative centres with specific interest in the cardiac impact of COVID-19, we will carry out an additional observational sub-study. As detailed in following paragraphs, we will collect data retrospectively on cardiac comorbidities, cardiac complications during the hospital admission for COVID-19, including myocardial infarction, arrhythmias, cardiogenic shock, cardiac arrest and any cardiac support provided. In addition we will record essential echocardiography data and markers of cardiac injury. Major outcomes up to 28 days post ICU admission will be obtained from the main COVID-19 Critical Care Consortium observational study. Following IRB approval of the Covid-19 Critical Care Consortium incorporating ECMOCARD protocol version 1.2.8, *data for the Cardiac Sub*-







# study will be collected from each collaborating site using the dedicated REDcap CRF, hosted at Oxford University.

## Acute kidney injury Sub-study Data Collection (Optional)

In collaborative centres with specific interest in the impact of COVID-19 on kidneys function, we will carry out an additional observational sub-study. As detailed in following paragraphs, we will retrospectively collect additional parameters to evaluate:

1. Number of COVID-19 patients developing acute kidney injury (AKI) as defined by AKIN/KDIGO network criteria<sup>28</sup>, using creatinine and urine output as a definition

- 2. Influence of altered coagulation on AKI incidence and on mortality in COVID-19 AHRF/ARDS
- 3. Effect of MV modalities on AKI, specifically PEEP, proning and neuromuscular blockade
- 4. Outcomes of AKI in this population, including extent of recovery or renal function.
- 5. Mortality difference based on stages of AKIN/KDIGO network criteria AKI in patients with COVID-19 AHRF/ARDS

Of note, following IRB approval of the Covid-19 Critical Care Consortium incorporating ECMOCARD protocol version 1.2.8, *data for the Cardiac Sub-study will be collected from each collaborating site using the dedicated REDcap CRF, hosted at Oxford University*.

## Data collection methods

Each site will have the option to collect data via Option 1 alone <u>**OR**</u> Option 1 +2. The method chosen will be a decision made at a site level. The options for data collection are as follows:

## **OPTION 1: Standard Data Collection**

Both the SPRINT-SARI ISARIC and ECMOCARD CRF will be made available at all participating sites as a paper CRF. The SPRINT-SARI ISARIC and ECMOCARD CRFs will be available in a variety of languages and will be translated into languages appropriate for all participating sites. The translation of the paper and electronic CRFs from English into the required language will be the responsibility of the national lead investigators and collaborators of the Critical Care Research group and checked for consistency by an appropriate investigator in the relevant country. All data will be collected by trained staff at each study site and these individuals will enter all required data described in the protocol into the CRFs directly from the source data. Trained staff at sites with the











IT capabilities can enter all required data directly into the protected online database, known as the eCRF; paper CRFs are the alternative option for direct data entry with subsequent transcription, upon completion, into the eCRF. Information recorded in the CRF should accurately reflect the participants' medical/hospital notes. The Research Coordinator or Site Investigator will have the ability to choose the process they use to enter data into the eCRF, where data may be entered at one time or intermittently. If used, the original paper based CRF will be stored within a locked office in each study site. The intent of this process is to improve the quality of the clinical study by providing prompt feedback to the Investigators on the progress of the submitted data and to enhance the ability to collect early safety information in a more timely fashion to fully comply with the intent of GCP requirements. Data from International countries will be entered into an online eCRF database managed by the Oxford University Clinical Research Unit (OUCRU) for the SPRINT-SARI ISARIC and ECMOCARD tiers. Data from Australia will be entered into an online eCRF database managed by Monash University, and will be complemented with data from SPRINT SARI observational study (ALFRED HREC Reference 202/16) and EXCEL (ALFRED HREC Reference 534/18). In countries unable to upload data on a centralised database the right to retain a local database on a national server is available with aggregated completely anonymised data exported centrally for analysis. Each site will be identified via a 3-digit network code, a 3-digit site code, and each patient will be assigned a 4-digit sequential patient code making up the patient ID number at time of originally enrolment in SPRINT- SARI. The site-code will be specified as to whether it is an ICU, hospital ward, or other facility. The site code is obtained by registering on the eCRF, data management system. Patient numbers should be assigned sequentially for each site beginning with 0001. In the case of a single site recruiting patients on different wards, or where it is otherwise difficult to assign sequential numbers, it is acceptable to assign numbers in blocks. Alpha characters can also be used (e.g. Intensive Care Unit will assign A001 onwards, in-patient ward will assign B001 onwards). The full patient identification number will therefore be a 10-digit number, with the format of the following: network code - site code - individual patient code [\_][\_][\_]-[\_][\_]-[\_][\_][\_](eg. 001-012-0001). The register of patient names and study numbers will not leave the participating hospital. Access to the data entry system will be protected by username and *password.* Username and password will be assigned during the registration process for individual









Research Coordinators or Site Investigators. All electronic data transfer between study site and database will be username and password protected. Each centre will maintain a trial file including a protocol, ethics approval documentation, and paper CRFs. A participant list will be used in each study site to match identifier codes in the database to individual patients in order to record clinical outcomes and supply any missing data points. The Participant List is maintained locally and is not to be transferred to any other location. The Research Coordinator will compile an enrolment log including the patient's name, age, hospital identification number and unique study number. Subsequent data will be identified by the unique study number only. The enrolment log and study data will be kept separately.

#### **OPTION 2: Interactive augmented data collection**

We will use platforms and solutions provided by Amazon to collect data and transfer data into the REDcap web application. Data will be collected through 1) voice commands; 2) digital video monitor interface and 3) through digital transcription of parameters collected via SPRINT-SARI/ECMOCARD paper CRFs. Similar to option 1, only de-identified information will be collected, encrypted and transferred directly to the REDCAP database. No data or information of any kind will be directed elsewhere. Amazon Web Services will not have any direct interaction with the enhanced user-interface once it is implemented and will only act in an external consultancy capacity. Data will be fully encrypted from data ingestion into Amazon cloud, up to de-encryption into the REDcap web application. Thus Amazon platform will only channel, without being able to codify, data from hospitals into the REDcap system.

## Data collection methods (Coagulation Disorders and Thrombosis sub-study)

As for the Coagulation Disorders and Thrombosis Sub-study, the CRF will be also made available at all collaborating sites as a paper CRF. The Coagulation Disorders and Thrombosis Substudy CRF will be only available in English. Data will be collected by trained staff at each study site and these individuals will enter all required data described in the protocol into the CRFs directly from laboratory results, ROTEM or TEG reports. All data will only be collected if done as part of standard clinical practice. If clinical tests are not routinely completed at the study time points, this data is not required. Trained staff at sites with the IT capabilities can enter all required data directly









into the protected online database hosted at Oxford University, known as the eCRF; paper CRFs are the alternative option for direct data entry with subsequent transcription, upon completion, into the eCRF. Information recorded in the CRF should accurately reflect the participants' laboratory results, ROTEM or TEG reports. The Research Coordinator or Site Investigator will have the ability to choose the process they use to enter data into the eCRF, where data may be entered at one time or intermittently. If used, the original paper based CRF will be stored within a locked office in each study site. The intent of this process is to improve the quality of the clinical study by providing prompt feedback to the Investigators on the progress of the submitted data and to enhance the ability to collect early safety information in a more timely fashion to fully comply with the intent of GCP requirements. Data will be entered into an online eCRF database managed by Oxford **University.** In Countries unable to upload data on a centralised database the right to retain a local database on a national server is available with aggregated completely anonymised data exported centrally for analysis. The full patient SPRINT-SARI/ECMOCARD identification number will be recorded to match results of the Coagulation Disorders and Thrombosis Sub-study with SPRINT-SARI/ECMOCARD records. The register of patient names and study numbers will not leave the participating hospital. Access to the data entry system will be protected by username and password. Username and password will be assigned by Oxford University, during the registration process for individual Research Coordinators or Site Investigators. All electronic data transfer between study site and database will be username and password protected. The Participant List of the Coagulation Disorders and Thrombosis Sub-study is maintained locally and is not to be transferred to any other location.

## Data collection methods (Neurology sub-study)

As for the Neurology Sub-study, the CRF will be also made available at all collaborating sites as a paper CRF. The Neurology Sub-study CRF will be only available in English. Data will be collected by trained staff at each study site and these individuals will enter all required data described in the protocol into the CRFs directly from medical charts, laboratory results and medical imaging reports. All data will only be collected if done as part of standard clinical practice. If clinical tests are not routinely completed at the study time points, this data is not required. Trained staff at sites with the IT capabilities can enter all required data directly into the protected online database hosted at









Oxford University, known as the eCRF; paper CRFs are the alternative option for direct data entry with subsequent transcription, upon completion, into the eCRF. Information recorded in the CRF should accurately reflect the participants' details recorded in medical charts, laboratory results and medical imaging reports. The Research Coordinator or Site Investigator will have the ability to choose the process they use to enter data into the eCRF, where data may be entered at one time or intermittently. If used, the original paper based CRF will be stored within a locked office in each study site. The intent of this process is to improve the quality of the clinical study by providing prompt feedback to the Investigators on the progress of the submitted data and to enhance the ability to collect early safety information in a more timely fashion to fully comply with the intent of GCP requirements. Data will be entered into an online eCRF database managed by the Oxford **University.** In Countries unable to upload data on a centralised database the right to retain a local database on a national server is available with aggregated completely anonymised data exported centrally for analysis. The full patient SPRINT-SARI/ECMOCARD identification number will be recorded to match results of the Neurology Sub-study with SPRINT-SARI/ECMOCARD records. The register of patient names and study numbers will not leave the participating hospital. Access to the data entry system will be protected by username and password. Username and password will be assigned by the Oxford University during the registration process for individual Research Coordinators or Site Investigators. All electronic data transfer between study site and database will be username and password protected. The Participant List of the Neurology Sub-study is maintained locally and is not to be transferred to any other location.

## Data collection methods (Cardiac sub-study)

As for the Cardiac Sub-study, the CRF will be also made available at all collaborating sites as a paper CRF. The Cardiac Sub-study CRF will be only available in English. Data will be collected by trained staff at each study site and these individuals will enter all required data described in the protocol into the CRFs directly from medical charts, laboratory results, and medical imaging reports. All data will only be collected if done as part of standard clinical practice. If clinical tests are not routinely completed at the study time points, this data is not required. Trained staff at sites with the IT capabilities can enter all required data directly into the protected online database hosted at Oxford University, known as the eCRF; paper CRFs are the alternative option for direct data entry









with subsequent transcription, upon completion, into the eCRF. Information recorded in the CRF should accurately reflect the participants' details recorded in medical charts, laboratory results, and medical imaging reports. The Research Coordinator or Site Investigator will have the ability to choose the process they use to enter data into the eCRF, where data may be entered at one time or intermittently. If used, the original paper based CRF will be stored within a locked office in each study site. The intent of this process is to improve the quality of the clinical study by providing prompt feedback to the Investigators on the progress of the submitted data and to enhance the ability to collect early safety information in a more timely fashion to fully comply with the intent of GCP requirements. Data will be entered into an online eCRF database managed by Oxford **University.** In Countries unable to upload data on a centralised database the right to retain a local database on a national server is available with aggregated completely anonymised data exported centrally for analysis. The full patient SPRINT-SARI/ECMOCARD identification number will be recorded to match results of the Neurology Sub-study with SPRINT-SARI/ECMOCARD records. The register of patient names and study numbers will not leave the participating hospital. Access to the data entry system will be protected by username and password. Username and password will be assigned by Oxford University during the registration process for individual Research Coordinators or Site Investigators. All electronic data transfer between study site and database will be username and password protected. The Participant List of the Cardiac Sub-study is maintained locally and is not to be transferred to any other location.

## Data collection methods (Acute Kidney Injury sub-study)

As for the Acute Kidney Injury Sub-study, the CRF will be also made available at all collaborating sites as a paper CRF. The Acute Kidney Injury Sub-study CRF will be only available in English. Data will be collected by trained staff at each study site and these individuals will enter all required data described in the protocol into the CRFs directly from medical charts, laboratory results, and medical imaging reports. All data will only be collected if done as part of standard clinical practice. If clinical tests are not routinely completed at the study time points, this data is not required. Trained staff at sites with the IT capabilities can enter all required data directly into the protected online database hosted at Oxford University, known as the eCRF; paper CRFs are the alternative option for direct data entry with subsequent transcription, upon completion, into the









eCRF. Information recorded in the CRF should accurately reflect the participants' details recorded in medical charts, laboratory results, and medical imaging reports. The Research Coordinator or Site Investigator will have the ability to choose the process they use to enter data into the eCRF, where data may be entered at one time or intermittently. If used, the original paper based CRF will be stored within a locked office in each study site. The intent of this process is to improve the quality of the clinical study by providing prompt feedback to the Investigators on the progress of the submitted data and to enhance the ability to collect early safety information in a more timely fashion to fully comply with the intent of GCP requirements. Data will be entered into an online eCRF database managed by Oxford University. In Countries unable to upload data on a centralised database the right to retain a local database on a national server is available with aggregated completely anonymised data exported centrally for analysis. The full patient SPRINT-SARI/ECMOCARD identification number will be recorded to match results of the Neurology Substudy with SPRINT-SARI/ECMOCARD records. The register of patient names and study numbers will not leave the participating hospital. Access to the data entry system will be protected by username and password. Username and password will be assigned by Oxford University during the registration process for individual Research Coordinators or Site Investigators. All electronic data transfer between study site and database will be username and password protected. The Participant List of the Acute Kidney Injury Sub-study is maintained locally and is not to be transferred to any other location.

## Screening log

No screening log will be maintained.

## Data quality

Several procedures to ensure data quality and protocol standardisation will help to minimise bias. These include:

- Online meetings for all research coordinators will be held to ensure consistency in procedures;
- 2. A detailed data dictionary will define the data to be collected on the case report form;





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3. Quality checks will be built into the data management system and there will be quality checks of critical data points entered into the CRFs to ensure standardization and validity of the data collected;

An achievable data set will be fundamental to the success of the study. We have identified the key data points whilst not discouraging centres from participating through an excessive burden of data collection. Data queries may be generated, depending on resource availability. Any information that is not available for the investigator will not be considered as missing. No assumptions will be made for missing data.

#### Data management

Data entry and data management will be coordinated by ISARIC and ECMOCARD steering committee, including programming and data management support. On behalf of the management committee, ANZIC-RC and ISARIC will act as custodian of the data. The University of Queensland will receive data from the data custodians via data sharing agreements. The management committee of the trial will take responsibility for the content and integrity of any data. There will be periodic assessments of data burden to ensure that the infrastructure is organized to handle large amounts of incoming data in small time periods. SPRINT-SARI and ECMOCARD will adhere to the research and data sharing policies of ISARIC, Sample and Data Sharing Policy, Version 4, 21 July 2014. Clinical investigators contributing to the research efforts will be given full recognition for their efforts and will be given the opportunity to access data. Ownership of any data transferred to the eCRF will be retained by the site that contributed it. Networks will retain the right to request raw data for all sites included in their network for research purposes, provided that the research proposal has been reviewed and approved by the management committee, ISARIC and ECMOCARD following publication of the primary manuscript. All analysis of pooled data will be undertaken with the explicit agreement of each site who contributed. ISARIC and ECMOCARD will retain the right to use all pooled data for scientific and other purposes. All members of the study group will have the right to access the pooled data for research purposes provided the research proposal has been reviewed and deemed satisfactory by the management committee following publication of the primary manuscript. Only summary data will be presented publicly. Individual patient data provided by participating sites will remain the property of the respective institution. Of note, a data









management plan will be developed to address researchers' intentions related to generation, collection, access, use, analysis, disclosure, storage, retention, disposal, sharing and re-use of data and information, the risks associated with these activities and any strategies for minimising those risks.

#### Monitoring

Data monitoring will be conducted on a randomly selected subset (up to 5%) of cases, through discussion with the local site investigator to discuss data collection techniques. Direct site visits will not be feasible, given the scope of the study.

#### **Collected Parameters**

The following parameters will be assessed and recorded based on the follow-up schedule and assessments reported in Figure 4.

#### Demographics and Medical History

- 1. Personal Data
- 2. Medical History and comorbidities, including type of anti-hypertensive medications
- 3. Smoking habits
- 4. Chronic alcohol abuse
- 5. Intravenous drug abuse
- 6. Immuno-competency status

#### **COVID-19** infection

- 1. Date of first signs of infection
- 2. Date of hospital admission
- 3. Date of ICU admission
- 4. Date of invasive mechanical ventilation
- 5. Blood gases before commencement of invasive mechanical ventilation
- 6. Use of continuous renal replacement therapy before commencement of invasive mechanical ventilation
- 7. Use of vasoactive drugs before commencement of invasive mechanical ventilation
- 8. Use of cardiac-assist devices before commencement of invasive mechanical ventilation
- 9. Acute physiology and chronic health evaluation (APACHE II) score upon ICU admission







- 10. Use of anti-viral treatment
- 11. Use of antibiotics
- 12. Cutaneous manifestations

Clinical parameters upon commencement of invasive mechanical ventilation

- 1. Date of invasive mechanical ventilation commencement
- 2. Use of prone position
- 3. Use of neuromuscular blockade
- 4. Use of recruitment manoeuvres
- 5. Use of inhaled nitric oxide
- 6. Use of bicarbonate
- 7. Blood gases
- 8. Ventilatory mode
- 9. Inspiratory fraction of oxygen
- 10. Respiratory rate
- 11. Tidal volume (ml/Kg of ideal body weight)
- 12. Positive end-expiratory pressure
- 13. Airway plateau pressure

Daily assessment of clinical parameters during invasive mechanical ventilation

- 1. Date of assessment
- 2. Use of prone position
- 3. Use of neuromuscular blockade
- 4. Use of recruitment manoeuvres
- 5. Use of inhaled nitric oxide
- 6. Blood gases
- 7. Ventilatory mode
- 8. Inspiratory fraction of oxygen
- 9. Respiratory rate
- 10. Tidal volume (ml/Kg of ideal body weight)
- 11. Positive end-expiratory pressure









- 12. Airway plateau pressure
- 13. Haemoglobin
- 14. White blood cells
- 15. AST
- 16. ALT
- 17. Lactate
- 18. Creatinine
- 19. Ferritin
- 20. D-dimer
- 21. Troponins
- 22. BNP
- 23. Use of continuous renal replacement therapy
- 24. Use of vasoactive drugs
- 25. Use of anticoagulants
- 26. Transfused blood products
- 27. Infectious complications
- 28. Haemorrhagic complications

#### Clinical features before commencement of ECMO

- 1. Date of ECMO commencement
- 2. Use of prone position
- 3. Use of neuromuscular blockade
- 4. Use of recruitment manoeuvres
- 5. Use of inhaled nitric oxide
- 6. Use of bicarbonate
- 7. Blood gases
- 8. Ventilatory mode
- 9. Inspiratory fraction of oxygen
- 10. Respiratory rate
- 11. Tidal volume (ml/Kg of ideal body weight)











- 12. Positive end-expiratory pressure
- 13. Airway plateau pressure

## **ECMO** characteristics

- 1. Type and manufacturer of centrifugal blood pump driven circuit
- 2. Type and manufacturer of low-resistance oxygenator
- 3. Type of ECMO: venous-venous or venous-arterial
- 4. Peripheral access: femoral, jugular, both
- 5. ECMO blood flow rate day 0, and every 24 hours thereafter
- 6. ECMO gas flow rate day 0, and every 24 hours thereafter
- 7. Anticoagulation during ECMO
- 8. Frequency of ECMO circuit change
- 9. Ventilatory settings on ECMO
- 10. Vasoactive support on ECMO
- 11. Organ dysfunctions on ECMO

#### ECMO adverse effects

- 1. Transfused blood during ECMO
- 2. Transfused plasma during ECMO
- 3. Transfused platelets during ECMO
- 4. Transfused cryoprecipitates during ECMO
- 5. Type and source of infectious complications
- 6. Type and source of haemorrhagic complications
- 7. Other complications

## Daily assessments for Coagulation Disorders and Thrombosis Sub-study

- 1. SPRINT-SARI/ECMOCARD patient number
- 2. Date of assessment
- 3. Lactate dehydrogenase
- 4. Ferritin
- 5. D-dimer
- 6. Fibrinogen









- 7. Activated clotting time
- 8. Activated partial thromboplastin time
- 9. International normalised ration
- 10. Plasma free haemoglobin
- 11. ROTEM parameters (EXTEM, FIBTEM, INTEM, HEPTEM, TRAPTEM, NATEM if patients undergoing treatment with low molecular weight heparin and ECATEM if patients undergoing treatment with direct thrombin inhibitors)
- 12. TEG parameters

#### Main outcomes

- 1. Date of ECMO discontinuation
- 2. Date of invasive mechanical ventilation discontinuation
- 3. Date of ICU Discharge
- 4. Date of Hospital Discharge
- 5. Mortality at 28 days
- 6. Main cause of death

#### Sub-studies

As mentioned above, site investigators will have the option to collect additional clinical data on the impact of COVID-19 on coagulation disorders and thrombosis, neurology, cardiac and acute kidney injury as part of specific sub-studies focusing on these clinical features. The following parameters per each sub-study will be assessed and recorded.

#### Coagulation disorders and thrombosis sub-study

Investigators interested in coagulation disorders and thrombosis sub-study will collect daily following parameters, if available as part of standard clinical practice:

- Laboratory parameters (PT aPTT INR ACT LDH; Fibrinogen; Plasma Free Hemoglobin Anti-Xa; Ferritin; D-Dimer; IL-6; CRP; Lupus Anticoagulant Protein C; Von Willebrand Factor Antigen; Antithrombin; Ristocetin)
- 2. Rotem or TEG parameters









- 3. Medications and dosing (Heparin; Heparin infusion (IV); Low Molecular weight heparin; Warfarin; Rivaroxaban; Apixaban; Aspirin; Argatroban; Bivalrudin; DDAVP; AMICAR (epsilon-Aminocaproic acid); Tranexamic Acid; Protamine; Andexanet Alfa)
- 4. Bleeding and thrombosis events
- 5. Administered blood products

#### Neurology sub-study

Investigators interested in the neurology sub-study will collect following parameters, if available as part of standard clinical practice:

- 1. Previous chronic neurological disorders
- 2. Modified Rankin scale
- Central nervous system complications during ICU stay (ischemic stroke; intracranial haemorrhage; hypoxic ischemic brain injury; meningitis/encephalitis; transverse myelitis; seizure; delirium)
- 4. Peripheral nervous system complications during ICU stay (Guillan-Barre syndrome; critical illness myopathy-neuropathy; hypogeusia/hyposmia)
- 5. Management of above-mentioned complications
- 6. Results of neuro-imaging assessments
- 7. Biomarkers
- 8. Withdrawal of treatment and modified RANKIN scale at ICU discharge and 28 days thereafter

## Cardiac sub-study

Investigators interested in the cardiology sub-study will collect following parameters, if available as part of standard clinical practice:

- Previous chronic cardiac disorders (ischemic heart disease; angina; heart failure; arrhythmias; permanent pacemaker/implanted cardia defibrillator/previous cardiac resynchronization therapy; heart transplant; mechanical circulatory support device; congenital heart disease; cardiomyopathy; previous cardiac arrest)
- Cardiac complications during ICU stay and management during and post event (acute myocardial infarction; myocarditis; Takotsubo cardiomyopathy; new onset arrythmias; cardiac arrest)









- 3. Medical therapy of shock state
- 4. Mechanical circulatory support
- 5. Results of echocardiography
- 6. Biomarkers
- 7. Administered blood products

## Acute kidney injury sub-study

In patients in whom mild acute kidney injury develops (serum creatinine rise >20% from baseline; or upper normal level where no evidence of chronic renal failure) Investigators interested in the acute kidney injury sub-study will collect, the following parameters, if available as part of standard clinical practice:

- Upon ICU admission: Baseline renal function at or prior hospital admission (serum creatinine; urine specific gravity; proteinuria; haematuria) and medications prior to ICU admission (NSAIDS; Aminoglycoside; Vancomycin; Diuretics; ACEI/ARBs)
- 2. *Daily:* Medications (NSAIDS; Aminoglycoside; Vancomycin; Diuretics; ACEI/ARBs); laboratory and clinical parameters (fluid and drug volume infused in last 24hrs; carboxyhaemoglobin); dialysis features (main indication; type; anticoagulation; calcium; complications)
- 3. Final outcomes: Dialysis-dependent status at ICU and hospital discharge

## **Data Analysis**

The global analysis of SPRINT-SARI/ECMOCARD and Coagulation Disorders and Thrombosis Sub-study categorical variables will be described as proportions and will be compared using chisquare or Fisher's exact test. Continuous variables will be described as mean and standard deviation if normally distributed or median and inter-quartile range if not normally distributed. Comparisons of continuous variables will be performed using one-way ANOVA or Mann-Whitney test, as appropriate. A logistic regression model will be performed to assess independent association between prognostic factors and outcomes, taking into account the hierarchical nature of the data. Significance will be set at p<0.05.







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# Regulation, Ethics and Governance

Protocol and any following amendment to the original protocol will be translated to the main language of the collaborative institution and submitted for the approval of each institutional review board (IRB). All protocols of the study will require approval by each institutional review board, before enrolment of patients. Sites should apply for a waiver of consent to be granted given the negligible risk nature of the study and the need for rapid data collection to inform pandemic responses globally. Sites wishing to participate in each sub-study will be required to provide the Covid-19 Critical Care Consortium Research Coordinator with an IRB approval certificate. In particular IRB approval of protocol version 1.2.7 will be required to participate to the Coagulation Disorders and Thrombosis study; while, IRB approval of protocol version 1.2.8 will be required to participate to Neurology, Cardiac or Acute Kidney Injury Sub-studies. Only after IRB approval certificate will be provided, sites will be granted access to the relevant sub-study REDCap databases. **Conflict of interest** 

The investigators of the APELSO network DO NOT have any significant financial or personal interest that would reasonably appear to be affected by the proposed research activities.

## Data collection and Site Monitoring plan

#### Data Collection

Data will be collected in dedicated electronic forms and/or hard copies as provided by the SPRINT-SARI and ISARIC Organisations (APPENDIX B) and the ECMOCARD Steering Committee (APPENDIX C). A custom-designed electronic case report form has been developed in REDcap, which is hosted at the University of Oxford and for all Australian centres will be hosted at Monash University, Melbourne, Australia. A custom-designed electronic case report form has been developed in REDcap for the Coagulation Disorders and Thrombosis Sub-study, which is hosted at the University of Queensland. Hard copies and electronic data will be kept for at least 7 years following the conclusion of the study. Each investigator will be responsible to collect and preserve data obtained at his/her collaborative institution.

#### Site Monitoring

Periodic conference calls will be organized with all investigators or investigators of specific collaborative centres to monitor the quality of the data collected, address specific issues in data









collection and prepare future publications. Data queries will be generated by the Consortium Data Management Team and disseminated to sites for review and correction in the REDCap database as appropriate.

#### Compensations

No compensation will be offered to collaborating institutions.

#### Data Access

All essential documentation of the SPRINT-SARI/ECMOCARD and the Coagulation Disorders and Thrombosis Sub-study will be stored in an Investigator Study File (ISF), which will be held by the Critical Care Research Group (CCRG), University of Queensland. On completion of the study, this information will be archived by the CCRG. Following the publication of the primary and secondary outcomes, additional analyses could be undergone on the data collected. In the event of publications arising from these analyses, those responsible will need to provide the Chief Investigator with a copy of the manuscript for approval prior to submission.

## Feasibility

This is a multi-centre study performed within the COVID-19 Critical Care Consortium, which comprises the SPRINT-SARI, ISARIC, ELSO and APELSO networks of clinical research institutions, during an emergent new respiratory infection caused by the new COVID-19 virus. The study will be conducted in intensive care units with broad experience in mechanical ventilation, ECMO and coagulation disorders and thrombosis. Further intra-mural and extra-mural collaborations beyond the COVID-19 Critical Care Consortium and SPRINT-SARI, ISARIC and APELSO networks will be potentially pursued to promptly achieve goals. In summary, the COVID-19 Critical Care Consortium multidisciplinary and international research team of collaborators provides ideal conditions to perform reported study.

## **Dissemination and Publication**

## **Publication policy**

Ownership of the data arising from the study resides with the study teams. Data requested from SPRINT-SARI and EXCEL investigators will resides with their own study teams. After the study, results will be analysed and tabulated, and a study report will be prepared. This report will be made









available to the study collaborators and the relevant IRBs. The study findings will be presented at national and international meetings. We plan to publish our study findings in a high-quality peer reviewed journal. SPRINT-SARI and EXCEL studies will be fully acknowledged in all publications and presentations.

#### Authorship policy

Authorship will be determined according to the internationally agreed criteria for authorship (www.icmje.org). Authorship of parallel studies conducted outside of the main trial will be according to the individuals involved in the study but must acknowledge the contribution of the involved investigators.

